AMIA 25 By 5: Symposium to Reduce Documentation Burden on U.S. Clinicians by 75% by 2025

HL7® Da Vinci Project: FHIR® Implementation Guides to Reduce Clinician Burden

Viet Nguyen, MD, BCCI
HL7 Board Member and Da Vinci Technical Director
Clinical Informaticist, Stratametrics LLC
January 29, 2021
To ensure the success of the industry’s **shift to Value Based Care**

**Transform out of Controlled Chaos:**
Develop *rapid multi-stakeholder* process to identify, exercise and implement initial use cases.

**Collaboration:**
Minimize the development and deployment of *unique solutions.*
*Promote* industry wide *standards* and adoption.

**Success Measures:**
Use of FHIR®, implementation guides and pilot projects.
# Da Vinci 2021 Multi-Stakeholder Membership

## PROVIDERS

<table>
<thead>
<tr>
<th>Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>YAMAI</td>
</tr>
<tr>
<td>CEDARS-SINAI</td>
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<tr>
<td>SUTTER HEALTH</td>
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<tr>
<td>PROVILENCE St. Joseph Health</td>
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<tr>
<td>OHSU</td>
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<tr>
<td>RUSH</td>
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<tr>
<td>TEXAS HEALTH RESOURCES</td>
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<tr>
<td>UC DAVIS HEALTH</td>
</tr>
<tr>
<td>UNC HEALTHCARE</td>
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</tbody>
</table>

For current membership: [http://www.hl7.org/about/davinci/members.cfm](http://www.hl7.org/about/davinci/members.cfm)

## EHRs

<table>
<thead>
<tr>
<th>EHR Vendor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Athena Health</td>
</tr>
<tr>
<td>Cerner</td>
</tr>
<tr>
<td>Epic</td>
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<tr>
<td>Healow</td>
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<tr>
<td>Veradigm</td>
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</tbody>
</table>

* Indicates a founding member of the Da Vinci Project.

Organization shown in primary Da Vinci role. Many members participate across categories.

## DEPLOYMENT

<table>
<thead>
<tr>
<th>Deployment Vendor</th>
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</thead>
<tbody>
<tr>
<td>Availity</td>
</tr>
<tr>
<td>MiHIN</td>
</tr>
<tr>
<td>CHANGE HEALTHCARE</td>
</tr>
</tbody>
</table>

## Payers

<table>
<thead>
<tr>
<th>Payer</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>Anthem</em></td>
</tr>
<tr>
<td><em>Blue Cross Blue Shield of Alabama</em></td>
</tr>
<tr>
<td><em>Blue Cross Blue Shield Association</em></td>
</tr>
<tr>
<td><em>Blue Cross of Idaho</em></td>
</tr>
<tr>
<td><em>Humana</em></td>
</tr>
<tr>
<td><em>Independence Health</em></td>
</tr>
<tr>
<td><em>UnitedHealthcare</em></td>
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</tbody>
</table>

## Vendors

<table>
<thead>
<tr>
<th>Vendor</th>
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<tbody>
<tr>
<td>Cognisante</td>
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<tr>
<td>EdiEcs</td>
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<tr>
<td>Infor</td>
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<tr>
<td>InterSystems</td>
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<td>Juxly</td>
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<td>MCG</td>
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<tr>
<td>OPTUM</td>
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<tr>
<td>Surescripts</td>
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<tr>
<td>ZeOmega</td>
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## Industry Partners

<table>
<thead>
<tr>
<th>Industry Partner</th>
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<tbody>
<tr>
<td>HIMSS</td>
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<tr>
<td>HL7 International</td>
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<tr>
<td>NCQA</td>
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</table>

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### Standard Phase

<table>
<thead>
<tr>
<th>Phase</th>
<th>Future</th>
<th>Build</th>
<th>Ballot</th>
<th>Published/ing</th>
</tr>
</thead>
<tbody>
<tr>
<td>Connectathon</td>
<td>&lt;1</td>
<td>2-4</td>
<td>5+</td>
<td></td>
</tr>
<tr>
<td>Live</td>
<td>&lt;1</td>
<td>1-3</td>
<td>&gt;4</td>
<td></td>
</tr>
<tr>
<td>Progress</td>
<td></td>
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</table>

### Use Case Maturity

#### Quality Improvement
- Data Exchange for Quality Measures
- Gaps in Care & Information

#### Coverage/Burden Reduction
- Coverage Requirements Discovery
- Documentation Templates and Rules
- Prior-Authorization Support

#### Member Access
- Clinical Data Exchange
- Payer Data Exchange
- Directory
- Formulary
- Coverage Decision Exchange
- Price Cost Transparency

#### Process Improvement
- Risk Based Contract
- Member Identification
- Risk Based Coding

#### Clinical Data Exchange
- Payer Data Exchange
- Clinical Data Exchange
- Notifications
- Patient Data Exchange
- Performing Laboratory Reporting

- Proposed CMS Rules
- Aligned with final ONC or CMS rule
How Da Vinci Solves Business Challenges
## Reducing Prior Authorization Burden

<table>
<thead>
<tr>
<th>Use Case</th>
<th>Status</th>
<th>Core Capabilities</th>
<th>Regulatory Impacts</th>
<th>Implementer Progress</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coverage Requirements Discovery</td>
<td>STU1</td>
<td>Enables exchange of coverage plan requirements from payers to providers at the time of treatment decisions, patient specific with a goal to increase transparency for all parties of coverage that may impact services rendered i.e., is prior authorization required, are there other predecessor steps; lab tests required, physical therapy</td>
<td>Named in the NPRM CMS Interoperability and Prior Authorization (CMS-9123-P) by January 1, 2023, FHIR-based DRLS API</td>
<td>9 Connectathons Early adopters and pilots underway</td>
</tr>
<tr>
<td>Documentation Templates and Rules</td>
<td>STU1</td>
<td>Builds on CRD to specify how payer rules can be executed in a provider context to ensure that documentation requirements are met. Provider burden will be reduced because of reduced manual data entry, i.e., electronic questionnaires from payers, extract data to pre-populate response</td>
<td>Named in the NPRM CMS Interoperability and Prior Authorization (CMS-9123-P) by January 1, 2023, FHIR-based DRLS API</td>
<td>8 Connectathons Early adopters and pilots underway</td>
</tr>
<tr>
<td>Prior-Authorization Support</td>
<td>STU1</td>
<td>Defines FHIR based services to enable provider, at point of service, to request authorization (including all necessary clinical information to support the request) and receive immediate authorization from Payer (incorporates HIPAA Tx standards)</td>
<td>Named in the NPRM CMS Interoperability and Prior Authorization (CMS-9123-P) by January 1, 2023, FHIR-based electronic Prior Authorization Support API</td>
<td>6 Connectathons Early adopters and pilots underway</td>
</tr>
</tbody>
</table>

DRLS = Document Requirements Lookup Service (DRLS) is CMS’ name for the combination of CRD + DTR.
Coverage Requirements Discovery, Documentation Templates & Rules & Prior Authorization Support

- Improve transparency
- Reduce effort for prior authorization
- Leverage available clinical content and increase automation
## Automating Quality Improvement

<table>
<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>Data Exchange for Quality Measures</td>
<td>STU2 Published</td>
<td>Focus is on creating a framework to make quality measure collection, attestation and proof identifiable, collected and transported between trading partners in a repeatable way. Work underway to expand examples.</td>
<td>No current regulatory impacts</td>
<td>8 Connectathons In production across multiple payer-provider sites. Often initial use case selected for high financial and shared value across trading partners.</td>
</tr>
<tr>
<td>Gaps in Care &amp; Information</td>
<td>BALLOT Reconciliation</td>
<td>To support value-based data exchange by: Represent open and closed gaps in care Define how providers and payers can be informed of gaps and closings Define a close the loop framework between vendor/payer and providers.</td>
<td>No current regulatory impacts</td>
<td>9 Connectathons</td>
</tr>
<tr>
<td>Clinical Data Exchange</td>
<td>BALLOT Reconciliation</td>
<td>Ability to enable provider to share clinical data to payers, other providers in workflow.</td>
<td>No current regulatory impacts</td>
<td>7 Connectathons</td>
</tr>
<tr>
<td>Risk Based Contract Member Identification</td>
<td>STU1 Publishing</td>
<td>Provides ability for trading partners to validate patients/members in common to ensure for secure transfer of correct population. Developed to streamline matching for DEQM use case. Expanded as applicable across many FHIR APIs</td>
<td>No current regulatory impacts</td>
<td>3 Connectathons In production or early adopter projects across multiple payer-provider sites. Seen as a utility across many early adopters.</td>
</tr>
</tbody>
</table>
**Gaps in Care**

**PAYER**
- Analysis triggered (e.g., patient enrollment, scheduled, etc.)

**PROVIDER**
- Request triggered (e.g., patient visit, eligibility check, manual, etc.)

Based on measure criteria, payer determines qualifying patient data is missing.

Patient list with gaps identified.

Provider System/EHR

- Receives list of patients/gaps

- Automatically or manually queried for missing data or exceptions

- Missing Data Found

- Service performed

Provider sends new data to payer.

**Benefits**
- Facilitates the exchange of gaps in care and quality measures between providers and payers.
- Identifies gaps based on patient criteria and contractual agreements.
- Supports the exchange of clinical data to close clinical and information gaps prospectively vs retrospectively.
- Leverages the FHIR-based, quality measure framework.
- Reduces manual data retrieval and cost associated with current practices.
- Gets the right triggers to right end users in patient care workflow increasing probability of positive impact.
- Improve quality of information shared by using FHIR standard.
- Can be used for single patient or with population of patients.
## Patient - Payer Data Access APIs

<table>
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<tbody>
<tr>
<td>Payer Data Exchange</td>
<td>STU1 Published</td>
<td>Enables a health plan to share key clinical data and patient history with application of patient’s choice.</td>
<td>CMS Interoperability and Patient Access final rule (CMS-9115-F) – enforcement begins on July 1, 2021 – use to make patients’ clinical (USCDI) data available via Patient Access API.</td>
<td>6 Connectathons, active implementations underway, emerging vendor and payer go lives in preparation for 7/1 rule.</td>
</tr>
<tr>
<td>Formulary</td>
<td>STU1 Published</td>
<td>Enable payers to share drug estimated cost and information (drug formulary) for patients/consumers applications. Improves clarity of patient cost under current or potential health plan. Improve consumers ability to shop plan coverage better.</td>
<td>CMS Interoperability and Patient Access final rule (CMS-9115-F) – enforcement begins on July 1, 2021 – use to make formulary information available via the Patient Access API and via publicly accessible API.</td>
<td>6 Connectathons, active implementations underway, emerging vendor and payer go lives in preparation for 7/1 rule.</td>
</tr>
<tr>
<td>Directory</td>
<td>STU1 Published</td>
<td>Enable patient to more easily understand what providers, facilities, pharmacies are in the network covered by the current or potential future plan. Increases transparency of available service providers at patient specific level.</td>
<td>CMS Interoperability and Patient Access final rule (CMS-9115-F)– use to make provider directory data available via publicly accessible API.</td>
<td>6 Connectathons, active implementations underway, emerging vendor and payer go lives underway.</td>
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</table>
| Price Cost Transparency | Discovery | Provide simple exchange for patients and providers to request and display cost information from payer/practice management to enable clinician and patient pharmaceutical / medical device / services / medical care conversation. | Rules Impact Evaluation Underway  
• CMS Transparency in Coverage Final Rule (CMS-9915-F) 1/1/2022  
• Hospital Price Transparency Rule – 1/1/2021 | N/A |
Payer Data Exchange (PDex)

Benefits
- Creates a full picture of all patient activities
- Providers may be unaware of all the patient clinical activities outside their facility
- Addition of payer-based data expands the scope of information available to the patient and provider to support clinical decision-making and care planning
- Improves quality of information shared by using FHIR standard

PDex Information Sources/Flow

- Provider data from CDAs and other sources
- Alerts (e.g., ADT)
- Immunizations
- Laboratory (e.g., national labs)
- PBM (meds)
- EOB
- Adjudication
- Claims

Data Extract Definition

- System of record
- Clinical data based FHIR resources
- Claims based clinical FHIR resources

Provider Gathers Data received from Source System(s)

Payer Makes Data available to Provider

Patient Visits Provider

Provider EHR Initiates Request
## Payer to Payer APIs

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<tr>
<td>Payer Data Exchange</td>
<td>STU1 Published</td>
<td>Enables a health plan to share key clinical data and patient history with application of patient's choice.</td>
<td>Rules Impact Evaluation Underway CMS Interoperability and Patient Access final rule (CMS-9115-F) — use to make patients' clinical (USCDI) data available to new Payer, named specifically as a proposed resource in 2020 NPRM CMS-9123-P</td>
<td>6 Connectathons</td>
</tr>
<tr>
<td>Coverage Decision Exchange</td>
<td>STU1 Published</td>
<td>Ability for payer to share active treatment to increase continuity of care. Includes current utilization management decisions and supporting data. Focus is to reduce rework by patient and provider when patient changes coverage</td>
<td>Rules Impact Evaluation Underway Functionality meets requirement for January 1, 2022 initially introduced in CMS Interoperability and Patient Access final rule (CMS-9115-F) — use to make patients' clinical (USCDI) data available to new Payer, referenced as a resource in 2020 NPRM CMS-9123-P</td>
<td>6 Connectathons</td>
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</table>
## Emerging or Future Use Cases

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</tr>
</thead>
<tbody>
<tr>
<td>Risk Based Coding</td>
<td>Discovery</td>
<td>Create framework for trading partners to exchange the necessary data required for chronic illness documentation, attestation and maintenance</td>
<td>None</td>
<td>Public calls starting in February of 2021</td>
</tr>
<tr>
<td>VBC Cost Performance Reports</td>
<td>Identifying Participants</td>
<td>Timely and accurate information exchange within the performance period. Focus on information that only a payer would have financial targets, spend, CCFs and quarterly quality payments. Information at lowest level of granularity. Access via APIs.</td>
<td>None</td>
<td>N/A</td>
</tr>
<tr>
<td>Performing Laboratory Reporting</td>
<td>TBD</td>
<td>Goal is to share clinical details of specific lab results between providers, payers and lab partners. Only a small fraction of lab data flows today. Define framework to expand breadth and scope of data exchange.</td>
<td>None</td>
<td>N/A</td>
</tr>
<tr>
<td>Patient Data Exchange</td>
<td>TBD</td>
<td>Enable exchange of patient reported data to payer and provider partners.</td>
<td>None</td>
<td>N/A</td>
</tr>
</tbody>
</table>
Join the Da Vinci Community
Can we merge 29 and 30 into 1 title slide?
Join the Community

Getting Started

1. Register for Confluence
2. Sign up for Listserv
3. Find Implementer Pages
4. Download IGs and Resources
5. Watch for Meetings, Connectathons
6. View Demo and Testimonial Recordings
7. Access Reference Implementation Code, Sandboxes
Da Vinci Program Manager:
Jocelyn Keegan, Point of Care Partners
jocelyn.keegan@pocp.com

Da Vinci Technical Lead:
Dr. Viet Nguyen, Stratametrics LLC
vietnguyen@stratametrics.com

Da Vinci Project Manager:
Vanessa Candelora, Point of Care Partners
vanessa.candelora@pocp.com
Back Up Slides
ANSI Antitrust Policy

ANSI neither develops standards nor conducts certification programs but instead accredits standards developers and certification bodies under programs requiring adherence to principles of openness, voluntariness, due process and non-discrimination. ANSI, therefore, brings significant, procompetitive benefits to the standards and conformity assessment community.

ANSI nevertheless recognizes that it must not be a vehicle for individuals or organizations to reach unlawful agreements regarding prices, terms of sale, customers, or markets or engage in other aspects of anti-competitive behavior. ANSI’s policy, therefore, is to take all appropriate measures to comply with U.S. antitrust laws and foreign competition laws and ANSI expects the same from its members and volunteers when acting on behalf of ANSI.

Approved by the ANSI Board of Directors May 22, 2014
Program Timeline

- **Da Vinci Founded**: Q4 2017
- **Concept Proposed**: 2017
- **Initial Working Session @ Optum Labs**: Q1 2018
- **Initial 2 Use Cases Balloted**: Q3 2018
- **HIMSS19 Demonstrations**: Q2 2019
- **By Sept19 HL7 12 IGs Progressing Balloting**: Q4 2019
- **1st Da Vinci Focused Connectathon @ GuideWell**: Q1 2020
- **HIMSS20 Virtual Demonstrations**: Q2 2020
- **Publish IGs and Expand Implementer Support**: Q4 2020
- **Initial Production Deployments & Education Events**: Q3 2020
- **Year End Focus**: Q4 2020

**Progress To Date**
Coverage Requirements Discovery (CRD)/Documentation Templates & Rules (DTR)

Benefits

- Takes guesswork out of patient specific coverage by sharing authorization or process requirements in workflow
- Improves transparency of patient and procedure specific rules to provider and patient
- Exposes information about patient benefits when care team is most likely with or near patient, so options can be discussed and decided upon
Data Exchange for Quality Measures

1. Submit
   - Submit Measure Data
   - OperationOutcome
   - Payer
   - Aggregator or Provider

2. Collect
   - Collect Measure Data
   - Return Measure Data
   - Provider
   - Payer

3. Subscribe (future)
   - Subscribe for Measure Data
   - OperationOutcome
   - Provider
   - Aggregator

Benefits
- Quality measures are defined as computable artifacts
- Framework automates data collection and quality measure reporting
- Eases the burden of identifying quality measures applicable to specific patients
- Minimizes the burden of manual data abstraction for measure reporting

Benefits
- Quality measures are defined as computable artifacts
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**Risk Based Contract**

**Member Identification**

**Producer** creates initial attribution list

**Consumer** receives list & historical information

**Consumer** reconciles list via their own attribution algorithm

**Producer** starts sending list on agreed upon cadence

**Producer/Consumer** enter relationship and agree on attribution method and need for a list

**Consumer** loads data to various systems to support various use cases

**Benefits**

- Allows the provider and payer to establish and maintain an accurate list of patients that are attributable to the provider
- Attribution list supports exchange of other information including gaps in care and quality measures
- Creates common format across payers and providers, reducing waste and maintenance

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**Current IG**

**Future version IG**

**Out of band process**
Notifications

Potential Interactions:
1) Push to “registered” member (perhaps via payer care team information)
2) Push to intermediary

Any care team member can be connected directly or via an intermediary (e.g., HIE)
Benefits

- Creates a consistent framework to exchange clinical data between Providers and Payers.
- Enables consistent, constrained use of FHIR and US CORE profiled data specific resources across all Da Vinci data exchange Implementation Guides.
- Focuses on nuance of resources like Provenance which differs by collection source, or resources currently not yet in USCDI and US Core e.g., Coverage.
Implementation Guides (IG) Options for Patient Directed APIs

FHIR IG

- Da Vinci Directory (PLAN NET)
- Da Vinci Formulary
- Da Vinci Payer Data Exchange PDEX* for Clinical Data
- CARIN IG for Blue Button® for Payer and Pharmacy Claims Data
- CARIN Real Time Benefit Check for Pharmacy

FHIR Resource Definition

- Patient Direct API 1/1/21
- Directory Access API
- Other related regulation

FHIR Accelerator Commentary

1. CMS has proposed use of specific guides in December Reducing Burden NPRM
2. FHIR Community is working collaboratively to ensure the specific guides meet needs of the final PAAPI rule and the proposed rule
3. All guides are Draft Standards for Trial Use (DSTU and approved or moving towards a published version of STU1)
4. NOTE: Da Vinci Directory and CARIN Real Time Benefit Check for consumer facing applications does not fall under 7/1/21 Patient Directed API regulations but is called out in NPRM and as a resource on other proposed rules
5. CMS has added provider to payer and payer to payer requirements to leverage this subset and additional named FHIR IGs.

Provider System

PAYER

- Product Plan Design
- Operational Support

PBM

- Operational Support

Provider

Individual

- Consumer Apps
- Member Portal
- Patient Portal

OAUTH Security Layer
Payer Data Exchange (PDex): Provider Directory

Benefits

- Provides a standard approach for requesting and receiving Provider information based on a patient's Insurance plan
- Enables directory to be called as a service by applications for integration of provider search into workflows
- Supports patients' ability to find providers across multiple plans
- Increases transparency to patients about provider availability in their plan
Payer Data Exchange (PDex): Formulary

**What are my medications?**
- Medication1, Medication2, Medication3
- RxNorm

**Tell me about Medication1**
- Medication1 info

**Tell me about Medication2**
- Medication2 info

**Tell me about Medication3**
- Medication3 info

Mobile app determines the cost of each medication under patient’s current health plan

**Benefits**
- Enables patient and provider applications to understand basic information about their plan or potential plans formulary coverage
- Patients can understand the tier and alternatives for drugs that have been prescribed, and to compare their drug costs across different insurance plans
- Improve transparency for patients shopping new plans, or seeking to understand alternative options vs current PDF
- Free data to be used by consumer facing applications to improve shopping options

**Electronic Health Record from Provider**

**Formulary Service**

<table>
<thead>
<tr>
<th>Medication Copays</th>
<th>Med</th>
<th>Tier</th>
<th>Copay</th>
</tr>
</thead>
<tbody>
<tr>
<td>Med1</td>
<td>1</td>
<td>1</td>
<td>$5</td>
</tr>
<tr>
<td>Med1</td>
<td>4</td>
<td>4</td>
<td>$30</td>
</tr>
<tr>
<td>Med3</td>
<td>2</td>
<td>2</td>
<td>$10</td>
</tr>
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</table>
Patient enrolls in new plan

**Payer Coverage Decision Exchange**

**Benefits**
- Supports continuity of treatment when patients enroll with a new payer by enabling a transfer of "current active treatments" between the prior payer and the new payer
- Reduces the need for providers and/or patients to resubmit supporting documentation to the new payer in order to continue patient treatment
- Reduces interruption in care plan and medication adherence
- Reduces waste and rework by all parties